

On the behavior of the solutions for certain neutral delay integro-differential equations

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Abstract. Some results are given on the behavior of solutions of scalar linear and constant coefficient neutral delay integro-differential equations. These results are obtained using two different real roots of the relevant characteristic equation. Finally, an example of solutions to neutral delay integro-differential equations is given.

Keywords. Neutral delay integro-differential equation, double inequality, characteristic equation, characteristic root, characteristic solution.

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1 Introduction

The neutral delay integro-differential equations play an important role in the theory of functional differential equations. In recent years, the theory of this class of equations has become an independent field of study. Many results concerning the theory of neutral delay differential equations were given in the excellent books by Hale and Verduyn Lunel [1], Kolmanovski and Myshkis [2], Lakshmikantham, Wen and Zhang [3], and Bellman and Cooke [4]. Besides its theoretical interest, the study of integro-differential equations has some importance in applications. For the basic theory of integral equations, we choose to refer to the books by Burton [5], Corduneanu [6], and Agarwal and O'Regan [7]. Since the first systematic study was performed by Volterra [8], such equations have been investigated in various fields such as mathematical biology and control theory (see, for example, [9]). This system can be found in a wide variety of scientific and engineering fields such as biology, physics, ecology, medicine, etc. (see [10, 11]). In particular, the delay integro-differential system has been observed to play an important role in modeling many different phenomena in circuit analysis and chemical process simulation; a comprehensive list of these can be found in [12].

In general, the theory of neutral delay integro-differential equations presents some additional complications, which are not presented in the theory of the corresponding delay differential equations. So, it is not easy to extend results concerning delay differential equations to neutral delay integro-differential equations.

This paper deals with the neutral delay integro-differential equation with constant coefficients

$$y'(t) = ay(t) + by(t - \tau) + cy'(t - \tau) + d \int_{t-\tau}^t y(s)ds, \quad t \geq 0, \quad (1)$$

$$y(t) = \phi(t) \quad \text{for } -\tau \leq t \leq 0, \quad (2)$$

where a, b, c, d are real numbers, τ is a positive real number, and ϕ is a continuous initial function given on the interval $[-\tau, 0]$.

By a *solution* y to the neutral delay integro-differential equation (1), we mean a continuous real-valued function defined on $[-\tau, \infty)$, which is continuously differentiable on $[0, \infty)$ and satisfies (1).

Along with the neutral delay integro-differential equation (1), we associate the following equation

$$\lambda = a + be^{-\lambda\tau} + c\lambda e^{-\lambda\tau} + d \int_0^\tau e^{-\lambda s} ds, \quad (3)$$

which will be called the *characteristic equation* of (1). Equation (3) is obtained from (1) by looking for solutions of the form $y(t) = e^{\lambda t}$ for $t \geq -\tau$.

Philos and Purnaras [13-15] studied some results on the asymptotic properties and stability of solutions of linear autonomous delay and neutral delay differential equations (see also a similar study reference [16]).

Yeniçerioğlu and other authors [17] investigated the asymptotic behavior and stability of solutions of neutral-type functional differential equations. Yeniçerioğlu [18] obtained some results on the behavior of solutions of linear impulsive neutral-delay differential equations with constant coefficients. Yeniçerioğlu and Yalçınbaş [19] investigated the asymptotic behavior and stability of solutions of delay integro-differential equations. Later, Yeniçerioğlu [20] extended the asymptotic behavior and stability of solutions of neutral-delay integro-differential equations. The authors in [21] obtained some results of first-order delay integro-differential equations. In [22], Wu and Gan obtained the numerical and analytical stability of solutions of neutral delay integro-differential equations of the form (1). Our work in this paper is mainly inspired by the results in [22].

In this paper, our aim is to extend the results obtained in [21] to the neutral delay integro-differential equation. This article concerns the behavior of solutions to scalar first-order linear neutral delay integro-differential equations (1). Namely that, a fundamental criterion for the lower and upper bounds of the solutions of equation (1) is established. The results obtained in this article are obtained using two appropriately different real roots of the relevant characteristic equation (3). The techniques used to obtain the results are a combination of the methods used in [13-21].

2 Statement of results

Lemma 2.1. *Suppose that*

$$c \geq 0, \quad b < 0, \quad \text{and} \quad d < 0. \quad (4)$$

Let λ_0 be a nonpositive real root of the characteristic equation (3) and let

$$\beta(\lambda_0) = ((b + c\lambda_0)\tau - c)e^{-\lambda_0\tau} + d \int_0^\tau se^{-\lambda_0 s} ds.$$

Then

$$1 + \beta(\lambda_0) > 0$$

if (3) has another real root less than λ_0 , and

$$1 + \beta(\lambda_0) < 0$$

if (3) has another real root greater than λ_0 .

Proof. Let $F(\lambda)$ denote the characteristic function of (3), i.e.,

$$F(\lambda) = \lambda - a - be^{-\lambda\tau} - c\lambda e^{-\lambda\tau} - d \int_0^\tau e^{-\lambda s} ds, \quad \text{for } \lambda \in \mathbb{R}. \quad (5)$$

We obtain immediately

$$F'(\lambda) = 1 + b\tau e^{-\lambda\tau} + ce^{-\lambda\tau}(\tau\lambda - 1) + d \int_0^\tau se^{-\lambda s} ds, \quad \text{for } \lambda \in \mathbb{R}. \quad (6)$$

Furthermore,

$$F''(\lambda) = -b\tau^2 e^{-\lambda\tau} + c\tau e^{-\lambda\tau}(2 - \tau\lambda) - d \int_0^\tau s^2 e^{-\lambda s} ds, \quad \text{for } \lambda \in \mathbb{R}.$$

So, considering (4), we conclude that

$$F''(\lambda) > 0, \quad \text{for all } \lambda \in (-\infty, 0]. \quad (7)$$

Now, assume that (3) has another real root λ_1 with $\lambda_1 < \lambda_0$ (respectively, $\lambda_1 > \lambda_0$). From the definition of the function F by (5) it follows that $F(\lambda_1) = F(\lambda_0) = 0$, and consequently Rolle's Theorem guarantees the existence of a point α with $\lambda_1 < \alpha < \lambda_0$ (resp., $\lambda_1 > \alpha > \lambda_0$) such that $F'(\alpha) = 0$. But, (7) implies that F' is positive on (α, ∞) (resp., F' is negative on $(-\infty, \alpha)$). Thus we must have $F'(\lambda_0) > 0$ (resp., $F'(\lambda_0) < 0$). The proof of Lemma 2.1 can be completed, by observing that

$$F'(\lambda_0) = 1 + \beta(\lambda_0).$$

□

Theorem 2.2. *Suppose that*

$$c \geq 0, \quad b < 0, \quad \text{and} \quad d < 0.$$

Let λ_0 be a non-positive real root of the characteristic equation (3) with $1 + \beta(\lambda_0) \neq 0$ where $\beta(\lambda_0)$ is defined as in Lemma 2.1, and let

$$\begin{aligned} L(\lambda_0; \phi) = & \phi(0) - c\phi(-\tau) + (b + c\lambda_0) e^{-\lambda_0\tau} \int_{-\tau}^0 e^{-\lambda_0 s} \phi(s) ds \\ & + d \int_0^\tau e^{-\lambda_0 s} \left\{ \int_{-s}^0 e^{-\lambda_0 u} \phi(u) du \right\} ds. \end{aligned}$$

Let also λ_1 be a real root of (3) with $\lambda_0 \neq \lambda_1$. Then, the solution y of (1)-(2) satisfies

$$D_1(\lambda_0, \lambda_1; \phi) \leq e^{-\lambda_1 t} \left[y(t) - \frac{L(\lambda_0; \phi)}{1 + \beta(\lambda_0)} e^{\lambda_0 t} \right] \leq D_2(\lambda_0, \lambda_1; \phi) \quad (8)$$

for all $t \geq 0$, where

$$D_1(\lambda_0, \lambda_1; \phi) = \min_{-\tau \leq t \leq 0} \left\{ e^{-\lambda_1 t} \left[\phi(t) - \frac{L(\lambda_0; \phi)}{1 + \beta(\lambda_0)} e^{\lambda_0 t} \right] \right\}$$

and

$$D_2(\lambda_0, \lambda_1; \phi) = \max_{-\tau \leq t \leq 0} \left\{ e^{-\lambda_1 t} \left[\phi(t) - \frac{L(\lambda_0; \phi)}{1 + \beta(\lambda_0)} e^{\lambda_0 t} \right] \right\}.$$

Note: Since $\lambda_0 \neq \lambda_1$, according to the Lemma 2.1, $1 + \beta(\lambda_0) \neq 0$.

Proof. Let λ_0 be a real root of the characteristic equation (3) and let y be the solution of (1)-(2). Define

$$x(t) = e^{-\lambda_0 t} y(t) \quad \text{for } t \geq -\tau. \quad (9)$$

Then, for every $t \geq 0$, we have

$$\begin{aligned} & \left\{ y'(t) - ay(t) - by(t - \tau) - cy'(t - \tau) - d \int_{t-\tau}^t y(s) ds \right\} e^{-\lambda_0 t} \\ & = x'(t) + \lambda_0 x(t) - ax(t) - bx(t - \tau) e^{-\lambda_0 \tau} \\ & \quad - ce^{-\lambda_0 \tau} (x'(t - \tau) + \lambda_0 x(t - \tau)) - d \int_0^\tau e^{-\lambda_0 s} x(t - s) ds = 0. \end{aligned}$$

Thus, the fact that y satisfies (1) for all $t \geq 0$ is equivalent to

$$\begin{aligned} x'(t) = & (a - \lambda_0)x(t) + (b + c\lambda_0)e^{-\lambda_0\tau}x(t - \tau) \\ & + ce^{-\lambda_0\tau}x'(t - \tau) + d \int_0^\tau e^{-\lambda_0s}x(t - s)ds. \end{aligned} \quad (10)$$

Moreover, the initial condition (2) can be equivalently written

$$x(t) = e^{-\lambda_0 t} \phi(t) \quad \text{for } -\tau \leq t \leq 0. \quad (11)$$

Furthermore, by using the fact that λ_0 is a root of (3) and taking into account $L(\lambda_0; \phi)$ and (11), we can verify that (10) is equivalent to

$$\begin{aligned} x(t) &= x(0) + (a - \lambda_0) \int_0^t x(s)ds + (b + c\lambda_0)e^{-\lambda_0\tau} \int_0^t x(s - \tau)ds \\ &\quad + ce^{-\lambda_0\tau} (x(t - \tau) - x(-\tau)) + d \int_0^\tau e^{-\lambda_0s} \left\{ \int_0^t x(u - s)du \right\} ds \\ &= \phi(0) + (a - \lambda_0) \int_0^t x(s)ds + (b + c\lambda_0)e^{-\lambda_0\tau} \int_{-\tau}^{t-\tau} x(s)ds \\ &\quad + ce^{-\lambda_0\tau} (x(t - \tau) - e^{\lambda_0\tau}\phi(-\tau)) + d \int_0^\tau e^{-\lambda_0s} \left\{ \int_{-s}^{t-s} x(u)du \right\} ds \\ &= \phi(0) + (a - \lambda_0) \int_0^t x(s)ds + (b + c\lambda_0)e^{-\lambda_0\tau} \int_{-\tau}^0 e^{-\lambda_0s}\phi(s)ds \\ &\quad + (b + c\lambda_0)e^{-\lambda_0\tau} \int_0^{t-\tau} x(s)ds + ce^{-\lambda_0\tau}x(t - \tau) - c\phi(-\tau) \\ &\quad + d \int_0^\tau e^{-\lambda_0s} \left\{ \int_{-s}^0 e^{-\lambda_0u}\phi(u)du + \int_0^{t-s} x(u)du \right\} ds \\ &= L(\lambda_0; \phi) + (a - \lambda_0) \int_0^t x(s)ds + (b + c\lambda_0)e^{-\lambda_0\tau} \int_0^{t-\tau} x(s)ds \\ &\quad + ce^{-\lambda_0\tau}x(t - \tau) + d \int_0^\tau e^{-\lambda_0s} \left\{ \int_0^{t-s} x(u)du \right\} ds \\ &= L(\lambda_0; \phi) - \left(be^{-\lambda_0\tau} + c\lambda_0e^{-\lambda_0\tau} + d \int_0^\tau e^{-\lambda_0s}ds \right) \int_0^t x(s)ds \\ &\quad + (b + c\lambda_0)e^{-\lambda_0\tau} \int_0^{t-\tau} x(s)ds + ce^{-\lambda_0\tau}x(t - \tau) \\ &\quad + d \int_0^\tau e^{-\lambda_0s} \left\{ \int_0^{t-s} x(u)du \right\} ds \end{aligned}$$

$$\begin{aligned}
&= L(\lambda_0; \phi) + ce^{-\lambda_0\tau} x(t - \tau) - (b + c\lambda_0) e^{-\lambda_0\tau} \int_{t-\tau}^t x(s) ds \\
&\quad - d \int_0^\tau e^{-\lambda_0 s} \left\{ \int_{t-s}^t x(u) du \right\} ds.
\end{aligned}$$

Next, we define

$$z(t) = x(t) - \frac{L(\lambda_0; \phi)}{1 + \beta(\lambda_0)} \quad \text{for } -\tau \leq t.$$

Thus we can see that the last equation reduces to the following equivalent equation:

$$\begin{aligned}
z(t) &= ce^{-\lambda_0\tau} z(t - \tau) - (b + c\lambda_0) e^{-\lambda_0\tau} \int_{t-\tau}^t z(s) ds \\
&\quad - d \int_0^\tau e^{-\lambda_0 s} \left\{ \int_{t-s}^t z(u) du \right\} ds \quad \text{for } t \geq 0.
\end{aligned} \tag{12}$$

Also, due to the x and z transformations, the following initial condition is obtained using the initial condition (2):

$$z(t) = e^{-\lambda_0 t} \phi(t) - \frac{L(\lambda_0; \phi)}{1 + \beta(\lambda_0)} \quad \text{for } -\tau \leq t \leq 0. \tag{13}$$

Now, we define

$$h(t) = e^{(\lambda_0 - \lambda_1)t} z(t) \quad \text{for } -\tau \leq t. \tag{14}$$

Because of the x and z transformations, the following expression is obtained for the function h :

$$h(t) = e^{-\lambda_1 t} \left[y(t) - e^{\lambda_0 t} \frac{L(\lambda_0; \phi)}{1 + \beta(\lambda_0)} \right] \quad \text{for } -\tau \leq t. \tag{15}$$

Moreover, by using the h function, (12) can be written as equivalent

$$\begin{aligned}
h(t) &= ce^{-\lambda_1\tau} h(t - \tau) - (b + c\lambda_0) e^{-\lambda_0\tau} \int_{t-\tau}^0 e^{(\lambda_1 - \lambda_0)s} h(s + t) ds \\
&\quad - d \int_0^\tau e^{-\lambda_0 s} \left\{ \int_{-s}^0 e^{(\lambda_1 - \lambda_0)u} h(u + t) du \right\} ds \quad \text{for } t \geq 0.
\end{aligned} \tag{16}$$

Also, (13) becomes

$$h(t) = e^{-\lambda_1 t} \left[\phi(t) - e^{\lambda_0 t} \frac{L(\lambda_0; \phi)}{1 + \beta(\lambda_0)} \right] \quad \text{for } -\tau \leq t \leq 0. \tag{17}$$

As solution y satisfies the initial condition (2), we can use the function h as well as the definitions of $D_1(\lambda_0, \lambda_1; \phi)$ and $D_2(\lambda_0, \lambda_1; \phi)$, to see that

$$D_1(\lambda_0, \lambda_1; \phi) = \min_{-\tau \leq t \leq 0} h(t) \text{ and } D_2(\lambda_0, \lambda_1; \phi) = \max_{-\tau \leq t \leq 0} h(t). \quad (18)$$

Considering (15) and (18), the double inequality (8) can be written equivalent to

$$\min_{-\tau \leq t \leq 0} h(t) \leq h(t) \leq \max_{-\tau \leq t \leq 0} h(t) \text{ for all } t \geq 0. \quad (19)$$

We need to prove that the inequality (19) holds. We will use the fact that h satisfies (16) for all $t \geq 0$ to show that (19) is valid. We just need to prove the following inequality

$$\min_{-\tau \leq t \leq 0} h(t) \leq h(t) \text{ for all } t \geq 0. \quad (20)$$

The proof of the inequality

$$h(t) \leq \max_{-\tau \leq t \leq 0} h(t) \text{ for all } t \geq 0$$

can be obtained in a similar manner and is therefore omitted. We will obtain (20) for the rest of the proof. To do this, we are considering an arbitrary real number A with $A < \min_{-\tau \leq t \leq 0} h(t)$, i.e., with

$$A < h(t) \text{ for } -\tau \leq t \leq 0. \quad (21)$$

We will show that

$$A < h(t) \text{ for all } t \geq 0. \quad (22)$$

For this purpose, suppose that (22) does not hold. Then, due to (21), there is a point $t_0 > 0$ such that

$$A < h(t) \text{ for } -\tau \leq t < t_0 \text{ and } h(t_0) = A.$$

Thus, by using (3), from (16) we obtain

$$\begin{aligned}
 A = h(t_0) &= ce^{-\lambda_1 \tau} h(t_0 - \tau) - (b + c\lambda_0) e^{-\lambda_0 \tau} \int_{-\tau}^0 e^{(\lambda_1 - \lambda_0)s} h(s + t_0) ds \\
 &\quad - d \int_0^\tau e^{-\lambda_0 s} \left\{ \int_{-s}^0 e^{(\lambda_1 - \lambda_0)u} h(u + t_0) du \right\} ds \\
 &> A \left(ce^{-\lambda_1 \tau} - (b + c\lambda_0) e^{-\lambda_0 \tau} \int_{-\tau}^0 e^{(\lambda_1 - \lambda_0)s} ds \right. \\
 &\quad \left. - d \int_0^\tau e^{-\lambda_0 s} \left\{ \int_{-s}^0 e^{(\lambda_1 - \lambda_0)u} du \right\} ds \right) \\
 &= A \left(ce^{-\lambda_1 \tau} - (b + c\lambda_0) e^{-\lambda_0 \tau} \left(\frac{1}{\lambda_1 - \lambda_0} \right) [1 - e^{-(\lambda_1 - \lambda_0)\tau}] \right. \\
 &\quad \left. - \left(\frac{d}{\lambda_1 - \lambda_0} \right) \int_0^\tau e^{-\lambda_0 s} [1 - e^{-(\lambda_1 - \lambda_0)s}] ds \right) \\
 &= \frac{A}{\lambda_1 - \lambda_0} \left((\lambda_1 - \lambda_0) ce^{-\lambda_1 \tau} - (b + c\lambda_0) [e^{-\lambda_0 \tau} - e^{-\lambda_1 \tau}] \right. \\
 &\quad \left. - d \int_0^\tau [e^{-\lambda_0 s} - e^{-\lambda_1 s}] ds \right) \\
 &= \frac{A}{\lambda_1 - \lambda_0} \left(\lambda_1 ce^{-\lambda_1 \tau} + be^{-\lambda_1 \tau} + d \int_0^\tau e^{-\lambda_1 s} ds \right. \\
 &\quad \left. - \lambda_0 ce^{-\lambda_0 \tau} - be^{-\lambda_0 \tau} - d \int_0^\tau e^{-\lambda_0 s} ds \right) \\
 &= \frac{A}{\lambda_1 - \lambda_0} ((\lambda_1 - a) - (\lambda_0 - a)) = A.
 \end{aligned}$$

Thus, we arrive at a contradiction and therefore (22) is correct. Since (22) is satisfied for any real number A with $A < \min_{-\tau \leq t \leq 0} h(t)$, it follows that (20) is always valid. The proof of the Theorem 2.2 is complete. \square

We can use Theorem 2.2 to derive the following corollary.

Corollary 2.3. Assume that the conditions in Theorem 2.2 are provided. Then, for the solutions of (1)-(2), double inequality of the Theorem 2.2 can be written as follows:

$$D_1(\lambda_0, \lambda_1; \phi) e^{(\lambda_1 - \lambda_0)t} \leq y(t) e^{-\lambda_0 t} - \frac{L(\lambda_0; \phi)}{1 + \beta(\lambda_0)} \leq D_2(\lambda_0, \lambda_1; \phi) e^{(\lambda_1 - \lambda_0)t}$$

and so

$$\lim_{t \rightarrow \infty} \left(y(t) e^{-\lambda_0 t} \right) = \frac{L(\lambda_0; \phi)}{1 + \beta(\lambda_0)},$$

provided that $\lambda_1 < \lambda_0$. Moreover, we immediately observe that this double inequality can equivalently be written in the form

$$\begin{aligned} D_1(\lambda_0, \lambda_1; \phi) e^{\lambda_1 t} + \frac{L(\lambda_0; \phi)}{1 + \beta(\lambda_0)} e^{\lambda_0 t} &\leq y(t) \\ &\leq D_2(\lambda_0, \lambda_1; \phi) e^{\lambda_1 t} + \frac{L(\lambda_0; \phi)}{1 + \beta(\lambda_0)} e^{\lambda_0 t} \end{aligned}$$

for all $t \geq 0$.

Example 2.4. Consider the differential equation (1) with

$$y'(t) = \frac{1}{2}y(t) - \frac{1}{4}y(t-1) + \frac{1}{e}y'(t-1) - \frac{1}{4} \int_{t-1}^t y(s)ds, \quad t \geq 0 \quad (23)$$

$$y(t) = \phi(t) \quad \text{for } -1 \leq t \leq 0, \quad (24)$$

where ϕ is an arbitrary continuous function on the interval $[-1, 0]$. In this example, we apply the characteristic equation (3). That is, the characteristic equation (3) is

$$\lambda = \frac{1}{2} - \frac{1}{4}e^{-\lambda} + \frac{1}{e}\lambda e^{-\lambda} - \frac{1}{4}\lambda^{-1} \left(1 - e^{-\lambda} \right). \quad (25)$$

We see that $\lambda = 0$ and $\lambda \approx -0.4$ are real roots of (25). Let's choose $\lambda_0 = 0$ and $\lambda_1 = -0.4$. In this case, by applying Theorem 2.2, we obtain the following result: For any continuous real-valued function ϕ on $[-1, 0]$, the solution y of (1)–(2) satisfies

$$D_1(\lambda_0, \lambda_1; \phi) \leq e^{0.4t} \left[y(t) - \frac{L(0; \phi)}{1 + \beta(0)} \right] \leq D_2(\lambda_0, \lambda_1; \phi)$$

for all $t \geq 0$, where

$$D_1(\lambda_0, \lambda_1; \phi) = \min_{-1 \leq t \leq 0} \left\{ e^{0.4t} \left[\phi(t) - \frac{L(0; \phi)}{1 + \beta(0)} \right] \right\},$$

$$D_2(\lambda_0, \lambda_1; \phi) = \max_{-1 \leq t \leq 0} \left\{ e^{0.4t} \left[\phi(t) - \frac{L(0; \phi)}{1 + \beta(0)} \right] \right\},$$

$$L(0; \phi) = \phi(0) - \frac{1}{e}\phi(-1) - \frac{1}{4} \int_{-1}^0 \phi(s)ds - \frac{1}{4} \int_0^1 \left\{ \int_{-s}^0 \phi(u)du \right\} ds$$

and

$$\beta(0) = -\left(\frac{1}{4} + \frac{1}{e}\right) - \frac{1}{4} \int_0^1 s ds \approx -0.743.$$

Furthermore, by applying Corollary 2.3, we have

$$D_1(\lambda_0, \lambda_1; \phi) e^{-0.4t} + \frac{L(0; \phi)}{0.257} \leq y(t) \leq D_2(\lambda_0, \lambda_1; \phi) e^{-0.4t} + \frac{L(0; \phi)}{0.257}$$

for all $t \geq 0$, and also, since $\lambda_1 < \lambda_0$, we get

$$\lim_{t \rightarrow \infty} y(t) = \frac{L(0; \phi)}{0.257}.$$


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